

<b>FORM PTO-1449 U.S. Department of Commerce</b> <b>Patent and Trademark Office</b>				Attorney Docket Number 5577.215			Serial No. To be Assigned
<b>LIST OF DOCUMENTS CITED BY APPLICANT</b>  (Use several sheets if necessary)							
				Applicants: Callis, et al.			
				Filing Date	Concurrently Herewith	Group Unknown	
<b>U. S. PATENT DOCUMENTS</b>							
Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
✓	1	4,403,286	09/06/83	Fry et al.	364	200	jc922 U.S. PRO 09/693027  10/20/00
✓	2	4,495,570	01/22/85	Kitajima et al.	364	200	
✓	3	4,577,272	03/18/86	Ballew et al.	364	200	
✓	4	5,031,089	07/09/91	Liu et al.	364	200	
✓	5	5,675,739	10/07/97	Eilert et al.	395	200	
✓	6	5,917,997	06/29/99	Bell et al.	395	182.02	
✓	7	5,923,854	07/13/99	Bell et al.	395	200.73	
✓	8	5,935,215	08/10/99	Bell et al.	709	239	
✓	9	5,951,650	09/14/99	Bell et al.	709	238	
<b>FOREIGN PATENT DOCUMENTS</b>							
		Document Number	Date	Country	Class	Subclass	Translation Yes   No
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>							
✓	10	A. Dahlin, et al. <i>EDDIE A Robust and Scalable Internet Server</i> . Ericsson Telecom AB, Stockholm, Sweden, pp. 1-7 (May 1998).					
✓	11	Brochure entitled, <i>ACEdirector™ 8-PORT 10/100 MBPS ETHERNET SWITCH</i> . Alteon WebSystems, San Jose, CA (1999)					
✓	12	Brochure entitled, <i>Enhancing Web User Experience with Global Server Load Balancing</i> . Alteon WebSystems, San Jose, CA (June 1999).					
✓	13	Brochure entitled, <i>The Next Step in Server Load Balancing</i> . Alteon WebSystems, San Jose, CA (November 1999).					
✓	14	Mac Devine. Presentation entitled, <i>TCP/IP Application Availability and Workload Balancing in the Parallel Sysplex</i> . Share Technical Conference (August 22-27, 1999).					
✓	15	<a href="http://w3.enterlib.ibm.com:80/cgi-bin/bookmgr/books/F1AF7001/1.3.1.2; 1.3.1.2.5 Virtual IP Addressing (VIPA); Excerpt from IP Configuration for OS/390, pp. 1-4 (1998)">http://w3.enterlib.ibm.com:80/cgi-bin/bookmgr/books/F1AF7001/1.3.1.2; 1.3.1.2.5 Virtual IP Addressing (VIPA); Excerpt from IP Configuration for OS/390, pp. 1-4 (1998)</a>					
✓	16	<a href="http://w3.enterlib.ibm.com:80/cgi-bin/bookmgr/books/F1AF7001/1.3.2; 1.3.20 DEVICE and LINK Statement—Virtual Devices (VIPA); Excerpt from IP Configuration for OS/390, pp. 1-3 (1998)">http://w3.enterlib.ibm.com:80/cgi-bin/bookmgr/books/F1AF7001/1.3.2; 1.3.20 DEVICE and LINK Statement—Virtual Devices (VIPA); Excerpt from IP Configuration for OS/390, pp. 1-3 (1998)</a>					
✓	17	<a href="http://w3.enterlib.ibm.com:80/cgi-bin/bookmgr/books/F1AF7001/1.3.2; 1.3.23. HOME Statement; Excerpt from IP Configuration for OS/390, pp. 1-6 (1998)">http://w3.enterlib.ibm.com:80/cgi-bin/bookmgr/books/F1AF7001/1.3.2; 1.3.23. HOME Statement; Excerpt from IP Configuration for OS/390, pp. 1-6 (1998)</a>					

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\*EXAMINERLambert May

DATE CONSIDERED

August 29, 2003

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FORM PTO-1449 U.S. Department of Commerce Patent and Trademark Office		Attorney Docket Number 5577-215	Serial No. 09/693,027
LIST OF DOCUMENTS CITED BY APPLICANT			
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<i>O I P E SCIST MAR 28 2001 PATENT &amp; TRADEMARK OFFICE</i>		Applicants: Callis et al.	
		Filing Date October 20, 2000	Group 2152

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Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
<i>JK</i>	1	5,563,878	10/8/96	Blakeley et al.	370	60	<b>RECEIVED</b> MAR 30 2001 Technology Center 2100

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## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

<i>JK</i>	2	Pai et al. <i>Locality-Aware Request Distribution in Cluster-based Network Servers</i> . Proceedings of the 8 <sup>th</sup> International Conference on Architectural Support for Programming Languages and Operating Systems (ASPLOS VIII), San Jose, CA, October, 1998.
<i>JK</i>	3	Aron et al. "Scalable Content-aware Request Distribution in Cluster-based Network Servers." Proc. of the 2000 Annual Usenix Technical Conference, San Diego, CA, June 2000.
<i>JK</i>	4	Aron et al. "Efficient Support for P-HTTP in Cluster-Based Web Servers." Proc. of 1999 Annual Usenix Technical Conference, Monterey, CA, June 1999.
<i>JK</i>	5	Aron, Mohit. "Scalable Content-aware Request Distribution in Cluster-based Network Servers." <a href="http://softlib.rice.edu/softlib/scalableRD.html">http://softlib.rice.edu/softlib/scalableRD.html</a> . Department of Computer Science, Rice University.
<i>JK</i>	6	"Data Communications Awards Allot Communications 'Hot Product' in Internetworking/IP Tools Category." Press Bulletin. Los Gatos, CA, January 18, 1999.
<i>JK</i>	7	"Allot Introduces Turnkey Next Generation IP Service and Creation Solution--the Virtual Bandwidth Manager." Press Bulletin. Atlanta, GA, SUPERCOMM 2000, Booth #8458, June 5, 2000.
<i>JK</i>	8	"Allot Announces the General Availability of its Directory Services-Based NetPolicy™ Manager." Press Bulletin. Tel Aviv, Israel, February 28, 2000.
<i>JK</i>	9	"Allot Communications Announces the Netenforcer Family of IP Traffic Management Products: Fault-Tolerant, Scalable, Policy-Based Bandwidth Management, QOS, SLA Solutions." Press Bulletin. Burlingame, CA, December 13, 1999.
<i>JK</i>	10	"Allot Communications Announces Business-Aware Network Policy Manager." Press Bulletin. Sophia Antipolis, France, September 20, 1999.
<i>JK</i>	11	"Allot Communications Announces Directory Services Based Network Policy Manager." Press Bulletin. Los Gatos, CA, April 5, 1999

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*Lambert Tran* DATE CONSIDERED *August 29, 2003*  
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	12	"Allot Communications Launches NetEnforcer with NetWizard, the Fastest Way to Implement Accurate and Reliable Network QoS Policies." Press Bulletin. Burlingame, CA, January 25, 2001
	13	"Policy-Based Network Architecture." from <a href="http://www.allot.com">www.allot.com</a> pp. 1-11. / September 7, 2001

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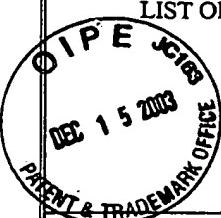
Lambert Tran

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				Filing Date October 20, 2000	Group 2152



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V6	1	5,563,878	10/8/96	Blakeley et al.	370	60	RECEIVED DEC 17 2003 Technology Center 2100

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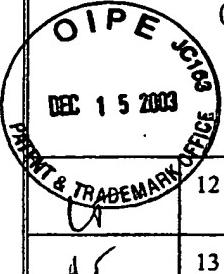
		Document No.	Date	Country	Class	Subclass	Translation Yes   No

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V5	2	Pai et al. <i>Locality-Aware Request Distribution in Cluster-based Network Servers</i> . Proceedings of the 8 <sup>th</sup> International Conference on Architectural Support for Programming Languages and Operating Systems (ASPLOS VIII), San Jose, CA, October, 1998.
V5	3	Aron et al. "Scalable Content-aware Request Distribution in Cluster-based Network Servers." Proc. of the 2000 Annual Usenix Technical Conference, San Diego, CA, June 2000.
V5	4	Aron et al. "Efficient Support for P-HTTP in Cluster-Based Web Servers." Proc. of 1999 Annual Usenix Technical Conference, Monterey, CA, June 1999.
V5	5	Aron, Mohit. "Scalable Content-aware Request Distribution in Cluster-based Network Servers." <a href="http://softlib.rice.edu/softlib/scalableRD.html">http://softlib.rice.edu/softlib/scalableRD.html</a> . Department of Computer Science, Rice University.
V5	6	"Data Communications Awards Allot Communications 'Hot Product' in Internetworking/IP Tools Category." Press Bulletin. Los Gatos, CA, January 18, 1999.
V5	7	"Allot Introduces Turnkey Next Generation IP Service and Creation Solution—the Virtual Bandwidth Manager." Press Bulletin. Atlanta, GA, SUPERCOMM 2000, Booth #8458, June 5, 2000.
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V5	10	"Allot Communications Announces Business-Aware Network Policy Manager." Press Bulletin. Sophia Antipolis, France, September 20, 1999.
V5	11	"Allot Communications Announces Directory Services Based Network Policy Manager." Press Bulletin. Los Gatos, CA, April 5, 1999

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	12	Applicants: Callis et al.	
	13	Filing Date October 20, 2000	Group 2152
	12	"Allot Communications Launches NetEnforcer with NetWizard, the Fastest Way to Implement Accurate and Reliable Network QoS Policies." Press Bulletin. Burlingame, CA, January 25, 2001	
	13	"Policy-Based Network Architecture." from <a href="http://www.allot.com">www.allot.com</a> pp. 1-11.	

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\*EXAMINER*Carrie L. Z.*

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<b>LIST OF DOCUMENTS CITED BY APPLICANT</b> (Use several sheets if necessary)		
 FEB 17 2004 3C35 APPLICANT		
Applicants: Callis et al. <span style="float: right;">2144</span>		
Filing Date: October 20, 2000 <span style="float: right;">Group: 2142</span>		

**U. S. PATENT DOCUMENTS**

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FEB 20 2004

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		Document No.	Date	Country	Class	Subclass	Translation Yes   No

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

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Applicants: Callis et al. Technology Center 2100

Filing Date: October 20, 2000

Group: 2144

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Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
MDT	1	5,774,668	06/30/98	Choquier et al.	395	200.53	
MDT	2	6,119,174	09/12/00	Borowsky et al.	710	15	
MDT	3	6,141,759	10/31/00	Braddy	713	201	
MDT	4	6,223,205B1	04/24/01	Harchol-Balter et al.	709	105	
MDT	5	6,314,463 B1	11/06/01	Abbott et al.	709	224	
MDT	6	US2002/0124089 A1	09/05/02	Aiken Jr et al.	709	227	

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		Document Number	Date	Country	Class	Subclass	Translation Yes   No

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

MDT	7	U.S. Application Serial No. 09/640,409 (Attorney dkt. no. 5577-205) filed August 17, 2000.
MDT	8	U.S. Application Serial No. 09/640,438 (Attorney dkt. no. 5577-206) filed August 17, 2000.
MDT	9	U.S. Application Serial No. 09/640,412 (Attorney dkt. no. 5577-207) filed August 17, 2000.

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PATENT &amp; TRADEMARK OFFICE

Applicants: Callis et al.

Filing Date: October 20, 2000

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MDT	1	6,704,317	3/9/04	Dobson	370	401	
MDT	2	6,542,926	4/1/03	Zalewski et al.	709	213	
MDT	3	6,430,622	8/6/02	Aiken, Jr. et al.	709	245	
MDT	4	6,374,300	4/16/02	Masters	709	229	
MDT	5	5,951,694	9/14/99	Choquier et al.	714	15	
MDT	6	5,918,017	6/29/99	Attanasia et al.	395	200.54	
MDT	7	5,828,847	10/27/98	Gehr et al.	395	200.69	
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		Document Number	Date	Country	Class	Subclass	Translation Yes   No

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MDT	12	U.S. Application Serial No. 09/693,663 (Attorney dkt. no. 5577-214) filed October 20, 2000.				

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<b>U. S. PATENT DOCUMENTS</b>							
Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
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MDT	5	6,286,039 B1	9/4/01	Van Horne et al.	709	221	
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		Document Number	Date	Country	Class	Subclass	Translation Yes   No
MDT	15	EP0648038 A2	8/24/94	EPO	H04L	29/06	
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	12	5,867,636	2/2/99	Walker	395	114
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